IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Ashok Singhal, David J. Broniarczyk, and George R. Cameron

3PARdata, Inc.

Title:

Communication Link Protocol Optimized for Storage Architectures

Serial No.:

Assignee:

09/751,649

Filing Date:

Examiner:

Unassigned

Group Art Unit:

12/29/00 Unassigned CEIVED

2 > 2001

Docket No.:

M-8495 US

San Francis

February 15, 2001

COMMISSIONER FOR PATENTS

Washington, D. C. 20231

INFORMATION DISCLOSURE STATEMENT **UNDER 37 CFR § 1.97(b)**

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying PTO Form-1449 are called to the attention of the Examiner for the above patent application. Copies of these documents are enclosed.

Citation of these documents shall not be construed as:

- an admission that the documents are necessarily prior art with respect to the instant invention;
 - a representation that a search has been made, other than as described above; or
- 3. an admission that the information cited herein is, or is considered to be. material to patentability as defined in § 1.56(b).

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on __, 2001.

Attorney for Applicant(s)

Respectfully submitted,

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| U.S. Department of Commerce, Patent and Trademark Office | | | | | Atty Docket No. | | Serial No. | |
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| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | | | | Applicants: Ashok Singhal, David J. | | | |
| (Use several sheets if necessary) | | | | | Broniarczyk, and George R. Cameron | | | |
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| PARAGEMENT - TRADEMENT | | | | | December 29, 2000 | | Unassigned | |
| U.S. Patent Documents | | | | | | | | 2 |
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| | AO | Cardoza et al., "Design of the TruCluster Multicomputer System for the Digital UNIX Environment", Digital Technical Journal, Vol. 8, No. 1, pp. 5-17 (1996) | | | | | | |
| | AP | EMC Corporation, "Symmetrix 3000 and 5000 ICDA Product Description Guide", EMC ² , pp. 1-48 (1997) Network Appliance, "Clustered Failover Solution: Protecting Your Environment", NetApp Filers, pp. 1-11, 2/11/00 | | | | | | |
| | AQ | | | | | | | |
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| Examiner | | | Date Considered | | | | | |
| *EXAMINER: conformance and | Initial if red not cons | eference considered, idered. Include copy | whether or not citation of this form with your | is in conformance with M communication to applica | PEP 609; Drant. | aw line through ci | ation if not i | n |